

For Six Month Period Ending January 5, 1987

(Insert date)

Name of Registrant Charles von Loewenfeldt, Inc. Registration No. 810
1333 Gough Street, Suite 6F
San Francisco, CA 94109
Business Address of Registrant

I—REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

N.A.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information: N.A.

Name	Position	Date Connection Ended
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4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☐ No ☒

If yes, furnish the following information: N.A.

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☐

N.A.

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information: N.A.

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information: N.A.

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information: N.A.

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period?

Yes ☒

No ☐

If yes, furnish following information:

Name and address of foreign principal

Date acquired

The Japan Foundation
342 Madison Avenue
New York, NY 10173

July 22, 1986

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Consulate General of Japan at San Francisco
Government of Japan, through the Embassy of Japan at Washington, D.C.
Japan Air Lines Co., Ltd. American Region Headquarters, New York
Japan Institute for Social and Economic Affairs, Tokyo

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See description of activities attached.

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

1. On behalf of the JISEA we distributed to educational institutions copies of a booklet they published called Q&A: Trading with Japan which presents trade problems between the U.S. and Japan from the viewpoint of Japanese business.
2. On behalf of the Consulate General of Japan at San Francisco, we arranged two speaking engagements. See attached sheet for dates, places of delivery and names of speakers and their subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

N.A.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policy of the United States or with reference to the political or public interests, policies, or relations of a government, foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
See attached sheets			

\$ 72,309.16

Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).
⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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See attached sheets

\$ 30,184.16

Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal. Japan Institute for Social and Economic Affairs
Consulate General of Japan at San Francisco

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

N.A.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☒ Pamphlets or other publications ☒ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☒ Educational institutions
☐ Government agencies ☒ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐
 Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit. (attached)

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? N.A. Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? N.A. Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐

N.A.

If no, list names of persons who have not filed the required statement.

N.A.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Charles von Loewenfeldt

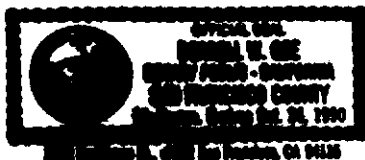
Charles von Loewenfeldt

Susan Crosier

Susan Crosier

Subscribed and sworn to before me at San Francisco, California

this 5th day of FEB, 19 87



Donald W. Lee
(Signature of notary or other officer)

¹The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes XXX or No

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes or No

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Susan Crosier
Signature

January 29, 1987
Date

Susan Crosier
Please type or print name of signatory on the line above

Executive Vice President
Title

January 29, 1987

III. Activities and services rendered to foreign principals.

For the Japanese Government, through the Embassy of Japan at Washington, D. C.

1. Assistance to Educators

Registrant provided advice and resource materials to educators in various parts of the U.S. who were staging teacher workshops involving teaching about Japan and global perspectives.

2. Advice to the Japanese Government

Meetings were held in Washington, D.C. and Tokyo by Registrant and various officials of the Embassy and the Foreign Ministry of Japan to discuss projects for on-going and future public relations activities.

3. Participation in annual meeting of the National Council for the Social Studies

Registrant organized a "Japan Hospitality Suite" at the 66th annual meeting of the National Council for the Social Studies, held in November in New York, and distributed educational materials written and printed in Japan to the educators, free of charge.

For the Japan Foundation

Registrant organized judging of applications for the 1986 NCSS/Japan Foundation Japan Study Tour in August, handled notification of winners and made arrangements for travel to Japan for fifteen social studies educators. The trip to Japan took place in October and November. The Registrant is handling follow-up coordination of the educators' teaching activities, development of curriculum materials on Japan, etc.

For Japan Air Lines Co., Ltd.

Registrant advised officials of JAL on promotional and publicity programs and periodically met with executives of the company in their New York headquarters and with the regional staff in San

Francisco.

For the Japanese Government, through the Consulate General of Japan at San Francisco

1. Assistance in arranging speaking engagements for Consul General

Registrant assisted in arranging speaking engagements for the Consul General before various civic and business groups on the status of the U.S.-Japanese relationship and the Japanese perspective of that relationship. These speeches have been and will be made in Northern California, Nevada, Utah and Colorado.

2. Advice, Counsel and General Assistance

Periodic meetings with officials of the Consulate General of Japan were held to give advice and counsel on various projects and activities undertaken by the Consulate General, such as press conferences.

For the Japan Institute for Social and Economic Affairs

1. Registrant began planning details of the 1987 JISEA (now called by its Japanese name, Keizai Koho Center, and referred to as KKC Fellowships) Fellowship program, publicizing the study travel opportunity through various state, regional and local teacher publications, distributing printed announcements and criteria, and answering myriad inquiries from social studies educators across the United States and Canada.
2. Registrant made travel arrangements for six U.S. Business School Deans who had been invited to visit Japan as guests of the Japan Institute for Social and Economic Affairs in July. We coordinated their requests for specific interviews and activities, and conducted a briefing in San Francisco prior to the Deans' departure for Tokyo.

For the Consulate General of Japan at San Francisco

Speaking engagements arranged in the jurisdictional area of the Consulate General:

1. The World Trade Club of San Francisco
"Careers in International Business" Symposium
Saturday, November 8.

The Consul General* spoke on U.S.-Japan relations to some 400 Northern California college and university students at this day-long symposium on job futures in international trade.

2. Golden Gate University in San Francisco
MBA class on international finance
Lecture by member of Consular staff# on general topics of Japanese financial institutions and policies.
Monday, November 3.

* Consul General Tatsuo Arima

Consul Kenji Ochi

Charles von Loewenfeldt, Incorporated

CATHEDRAL HILL WEST • 1333 GOUGH STREET
SAN FRANCISCO, CALIFORNIA 94109 • (415) 922-5600

PUBLIC RELATIONS

January 27, 1987

14 (a) Receipts - Monies

<u>DATE</u>	<u>FROM WHOM</u>	<u>PURPOSE & AMOUNT</u>		<u>TOTAL</u>
		<u>FEES</u>	<u>PRODUCTION COSTS</u>	
7/22/86	Japan Foundation, New York		\$ 3,500.	\$3,500.
10/23/86	" "		3,600.	3,600.
				----- \$7,100.

15 (a). Disbursements - Monies

Monies expended in connection with activity in behalf of
the Japan Foundation, New York.

-- Printing, publicity and other communication costs to announce 1986 Japan Foundation Fellowships to Japan to American educators	\$ 3,500.
-- Accommodations and meals in San Francisco and expenses of briefings given to 16 American social studies educators prior to their de- parture for Japan in October	3,600.

Charles von Loewenfeldt, Incorporated

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SAN FRANCISCO, CALIFORNIA 94109 • (415) 922-5600

PUBLIC RELATIONS

January 27, 1987

14 (a) Receipts - Monies

<u>DATE</u>	<u>FROM WHOM</u>	<u>PURPOSE & AMOUNT</u>		<u>TOTAL</u>
		<u>FEES</u>	<u>PRODUCTION COSTS</u>	
9/16/86	Consulate General of Japan at San Francisco	\$ 5,500.		\$ 5,500.

15 (a). Disbursements - Monies

Monies expended in connection with activity in behalf of
Consulate General of Japan at San Francisco

Telephone & correspondence	\$75.00
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Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 27, 1987

14 (a) Receipts - Monies

<u>DATE</u>	<u>FROM WHOM</u>	<u>PURPOSE & AMOUNT</u>		<u>TOTAL</u>
		<u>FEES</u>	<u>PRODUCTION COSTS</u>	
7/22/86	Government of Japan through the Embassy of Japan at Washington, D.C.	\$19,200		\$19,200.
7/22/86	" " " "		\$ 1,750.	1,750.
				<hr/> \$20,950.

15 (a). Disbursements - Monies

Monies expended in connection with activity in behalf of
the Embassy of Japan, Washington, D.C.:

-- Telephone, correspondence, postage and
supplies for communicating with the
"Japan Alumni", teachers who have visited
Japan on fellowships (approximately 300) \$ 1,750.

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 27, 1987

14 (a) Receipts - Monies

<u>DATE</u>	<u>FROM WHOM</u>	<u>PURPOSE & AMOUNT</u>		<u>TOTAL</u>
		<u>FEEs</u>	<u>PRODUCTION COSTS</u>	
7/31/86	Japan Air Lines Co., Limited	\$ 1,000.		
8/31/86	" " " "	1,000.		
9/30/86	" " " "	1,000.		
10/31/86	" " " "	1,000.		
11/30/86	" " " "	1,000.		
12/31/86	" " " "	1,000.		
		\$ 6,000.		\$ 6,000.

15 (a). Disbursements - Monies

Monies expended in connection with activity in behalf of
Japan Air Lines Co., Ltd.

NONE

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

14 (a) Receipts - Monies

<u>DATE</u>	<u>FROM WHOM</u>	<u>PURPOSE & AMOUNT</u>		<u>TOTAL</u>
		<u>FEES</u>	<u>PRODUCTION COSTS</u>	
8/19/86	Japan Institute for Social and Economic Affairs, Tokyo	\$ 1,500.	\$ 13,759.16	\$ 15,259.16
10/29/86	" " "	10,000.	7,500.00	17,500.00
		\$11,500.	\$ 21,259.16	\$ 32,759.16

15 (a) Disbursements - Monies

Monies expended in connection with activity in behalf of
the Japan Institute for Social and Economic Affairs:

-- Air fares and domestic travel expenses for six American business school deans from their home communities to San Francisco, Tokyo and return:	\$13,759.16
-- Printing, publicity, mailing costs and telephone communications for announcing throughout the U.S. and Canada the availability of the 1987 Keizai Koho Center Fellowships for social studies, economics and history teachers	7,500.00
	\$21,259.16